



Welcome to the Corner of Bedford and Main!

Bedford and Main Financial Consulting offers distinct value to firms faced with their most challenging management decisions. We accept engagements where we know we have the skills and expertise to truly help you to achieve your firm's goals. Our leadership has 35 years of "C-level" senior management experience that can supplement your internal resources. Our knowledge, candor and contacts help achieve success. We specialize in the services below, but we will gladly discuss your unique projects. To find out more, visit us at:

www.BedfordandMain.com .

Our Principal Services:

- ◆ **Correspondent Clearing / Platform Selection** - for Introducing Brokers choosing their Clearing Brokers and self-clearing firms choosing a securities processing system
- ◆ **Wealth Management and Trust** – Vendor selections and system integrations
- ◆ **Conversion Specialist** - coordinating the securities processing system, a clearing broker conversion, or third party system implementations
- ◆ **Compliance Support:** FINRA exams / Annual AML Testing / CEO Certifications
- ◆ **Strategic Management Initiative Support**
- ◆ **Firm Restructuring / Efficiency Evaluations and Implementations**
- ◆ **Contract Negotiations**
- ◆ **Mergers & Acquisitions**
- ◆ **Securities Litigation Expert Witness Services**

Our CEO and key consultant has passed the Series 3, 7, 24, 27 and 63. He is certified as a FINRA Dispute Resolution Arbitrator and as a Certified Anti-Money Laundering Specialist (CAMS).